

07CO #5  
9/26/01

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Dorit WOLF, Olga GERLACH and Manfred BAERNS

Appln. No.: 09/909,038

Group Art Unit:

Filed: July 19, 2001

For: PROCESS FOR PRODUCTION OF NEW CATALYSTS  
USING AN EVOLUTIONARY PROCESS

Attorney Docket No.: 3975.003

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application which were cited by the Examiner in the corresponding German patent application. Copies of the documents set forth below and listed on the attached Form PTO-1449, and copies of the search reports, are provided herewith. Applicants are not aware of any English language documents corresponding to the German language documents.

1. Hanes, et al., "In vitro selection methods for screening of peptide and protein libraries", CAPLUS, 1999:570827
2. World Patent WO 00/15341 (Wolf et al) entitled "Method of producing active and/or selective solid catalysts from inorganic or organometallic materials" published March 23, 2000.

3. World Patent WO 00/40331 (Krasnow) entitled "Aparatus and method for combinatorial research for catalysts and polymers" published July 13, 2000.

The relevancy of the references is discussed below.

Document 1

This Ddocument is in the English Language.

Document 2

This document corresponds to DE 198 43 242.9 discussed in the specification at paragraph 2. Applicants are not aware of any English language corresponding documents.

Document 3

This document contains an English language Abstract, from which the relevancy can be determined. Applicants are not aware of any other English language corresponding documents.

The present Information Disclosure Statement is being filed after three months from the application's filing date but before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

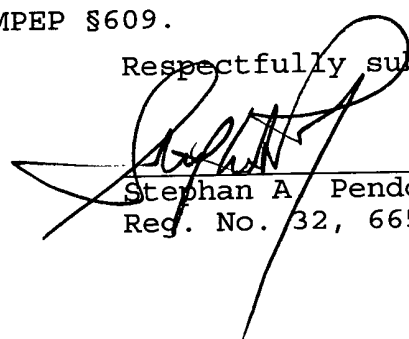
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or otherwise remove any listed document as a competent reference against the claims of the present application.

U.S. PATENT APPLICATION  
SERIAL NO.: 09/909,038  
INFORMATION DISCLOSURE STATEMENT

ATTY DOCK: 3975.003

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,



Stephan A. Pendorf  
Reg. No. 32, 665

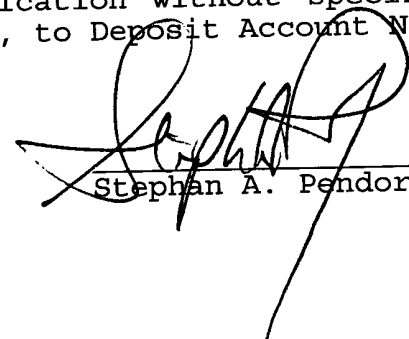
Pendolf & Cutliff  
P.O. Box 20445  
Tampa, Florida 33622-0445  
(813) 886-6085

Dated: September 21, 2001

**CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE**

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT Form PTO-1449, including two documents, for U.S. Application No. 09/909,038 filed July 19, 2001, were deposited in first class U.S. mail, postage prepaid, Washington, D.C. 20231, on September 21, 2001.

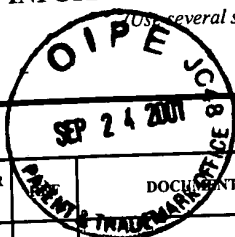
The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.



Stephan A. Pendorf

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)  
3975.003

Application Number  
09/909,038

Applicant(s)  
Dorit WOLF, et al.

Filing Date  
07/19/01

Group Art Unit

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 00/15341	3/23/00	World	B01J	37/00		✓
	WO 00/40331	7/13/00	World	B01J	19/00	✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Hanes, et al., "In vitro selection methods for screening of peptide and protein libraries", CAPLUS, 1999:570827

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.